

LHS Business Control

47 Grangewood, Potters Bar, Hertfordshire, EN6 1SL, England
info@lhscontrol.com www.lhscontrol.com
Tel: +44 (0)1707 851454 Fax: +44 (0)1707 851455 Mobile: +44 (0)7774 145638

JOHN MITCHELL

PhD, CEng, CITP, MBA, FBCS, MBCS, CISA, FIIA, MIIA, QiCA, CFE

Managing Director
LHS Business Control

Dr Mitchell is an international authority on IT governance, the control of computer systems, the investigation of computer crime and the impact of regulatory and compliance issues on the delivery of IT services. He has presented papers on these subjects at many international conferences since 1985.

Within the UK, he runs regular seminars on IT Governance, the problems associated with the development and provision of computer systems and the detection and prosecution of computer criminals.

He is a Chartered Engineer, Chartered Information Technology Professional, a Certified Fraud Examiner, a Certified Information Systems Auditor, a Fellow of the Institute of Internal Auditors (UK) and a Fellow of the British Computer Society where he is a past member of its governing Council. He is currently the editor of one journal dealing with IT control issues and is on the editorial panel of another.

He has over 30 years practical IT control experience and an international reputation for advising organisations on their governance strategies and associated methodologies. This is coupled with a strong academic background, which includes research, extensive publications and teaching at the post-graduate level.

John has been an expert witness in a number of high profile UK criminal cases and he has been featured in a major British computing publication as the *IT Detective*.

His doctorate in risk analysis techniques was awarded by City University, London, England. His MBA in financial control was awarded, with distinction, by Middlesex University, England.

John has helped a number of companies to enhance their IT Governance and regulatory compliance strategies.

- ING bank
- The Open University
- Army Base Repair Organisation
- BAA plc
- The Open University
- Sears plc
- Man Group plc

LHS

VAT Registration Number GB 506 0102 13

LHS Business Control

47 Grangewood, Potters Bar, Hertfordshire, EN6 1SL, England

info@lhscontrol.com www.lhscontrol.com

Tel: +44 (0)1707 851454 Fax: +44 (0)1707 851455 Mobile: +44 (0)7774 145638

- London Underground plc
- The Rank Organisation plc

ACADEMIC AND PROFESSIONAL QUALIFICATIONS

Academic

PhD (Risk Analysis)

City University, London, England - 1989

MBA (Distinction)

Middlesex University, England - 1986

Post Graduate Diploma in Accounting & Business Economics (PGDip)

Middlesex University, England - 1977

Professional

Chartered Engineer (CEng) – 1993

Chartered Information Technology Professional (CITP) - 2004

Certified Information Systems Auditor (CISA) - 1986

Diploma in Internal Auditing (MIIA) - 1986

Fellow of the Institute of Internal Auditors - UK (FIIA) - (1996)

Qualification in Computer Auditing (QiCA) - 1996

Member of the British Computer Society (MBCS) - 1984

Fellow of the British Computer Society (FBCS) - 1991

Certified Fraud Examiner (CFE) - 1996

LHS

VAT Registration Number GB 506 0102 13

LHS Business Control

47 Grangewood, Potters Bar, Hertfordshire, EN6 1SL, England
info@lhscontrol.com www.lhscontrol.com
Tel: +44 (0)1707 851454 Fax: +44 (0)1707 851455 Mobile: +44 (0)7774 145638

CAREER HISTORY (Reverse Chronological Order)

Current Position - March 1989 to date

I am currently the Managing Director of LHS Business Control, a consultancy which I founded in 1989. LHS undertakes commissioned work covering IT Governance, computer audit, business security and regulatory compliance matters.

My client list is extensive and has included such substantial bodies as ING Bank, British Airports Authority, Sears Plc, the Legal & General Insurance Group, the Rank Organisation, the BOC Group, the Royal Bank of Scotland, Marks & Spencer, the National Health Service, the Audit Commission, London Underground, Westminster Abbey and many central and local Government departments.

I am an active participant in a number of organisations in the business control area, including the British Computer Society, the Institute of Internal Auditors, the Association of Certified Fraud Examiners and the Information Systems Audit & Control Association.

British Telecom - February 1987 to February 1989

As Deputy Chief Internal Auditor with 192 staff I had special responsibility for the audit of information technology and capital expenditure. Both of these areas were huge by conventional terms in that there were 65 major data centres and some 200 minor ones (IBM 4381 and VAX), with an annual hardware spend of some £300 million, and system developments totalling over £800 million; the annual capital expenditure budget of the company was in excess of £3 billion.

I created a new section to deal exclusively with capital expenditure and system development control; implemented office automation throughout the audit department (which involved providing portable and desk top computers at a capital spend of £400,000) and restructured audit training (annual budget £200,000) to make it more cost effective.

I left BT to form my own consultancy in 1989.

British Gas - February 1977 to February 1987

Initially I was Assistant Audit Manager at Eastern Gas, where I had responsibility for advising management on aspects of computing policy and control, ranging from the security of the data centre, through to procurement policies for new hardware/software and future strategies ranging from database to micro computers. I initiated the use of Computerised Audit Techniques and was subsequently promoted to the position of Computer Audit Manager at British Gas Headquarters.

LHS

VAT Registration Number GB 506 0102 13

LHS Business Control

47 Grangewood, Potters Bar, Hertfordshire, EN6 1SL, England
info@lhscontrol.com www.lhscontrol.com
Tel: +44 (0)1707 851454 Fax: +44 (0)1707 851455 Mobile: +44 (0)7774 145638

My position as Computer Audit Manager required me to keep fully aware of all current and potential aspects of Information Technology. I also advised the British Gas Board on the implications of adopting particular strategies, as well as reviewing the overall control, cost and effectiveness of its computing investment. This involved a close liaison with all levels of management, especially at Board level. I also instigated and implemented a number of national policies ranging from control over micro computing through to database control, system development and data security.

I left British Gas to join British Telecom as Deputy Chief Internal Auditor.

London Borough of Enfield - October 1975 to February 1977

My major responsibilities at the LBE were directed at their major income and expenditure systems, but once again I was involved in a major conversion exercise; this time from Honeywell to ICL equipment. My responsibilities related to the planning of the conversion and the necessary liaison with senior executives and the manufacturer's representatives.

After completion of the conversion at the LBE I moved to British Gas to gain experience in a large national industry.

Rolls-Royce - February 1974 to October 1975

At Rolls-Royce I was initially responsible for the re-development of their major accounting system and I later headed the team responsible for conversion of the installation's systems from IBM 360 to IBM 370 machines. I also implemented the CICS teleprocessing monitor on the 370 and designed their first on-line application. During this period and because of my knowledge of their financial systems I was required to liaise with the external auditors on all matters concerning computerised financial control and reporting. After two years, I moved to the London Borough of Enfield (LBE) as Senior Systems Analyst in order to gain experience in local authority financial systems.

Local Government Training Board - February 1972 to February 1974

At the LGTB I was responsible for the creation of a completely new section to computerise the LGTB's examination control process. After achieving that objective I moved to Rolls-Royce (1971) Ltd in order to gain experience of large manufacturing control and financial systems.

LHS

VAT Registration Number GB 506 0102 13

LHS Business Control

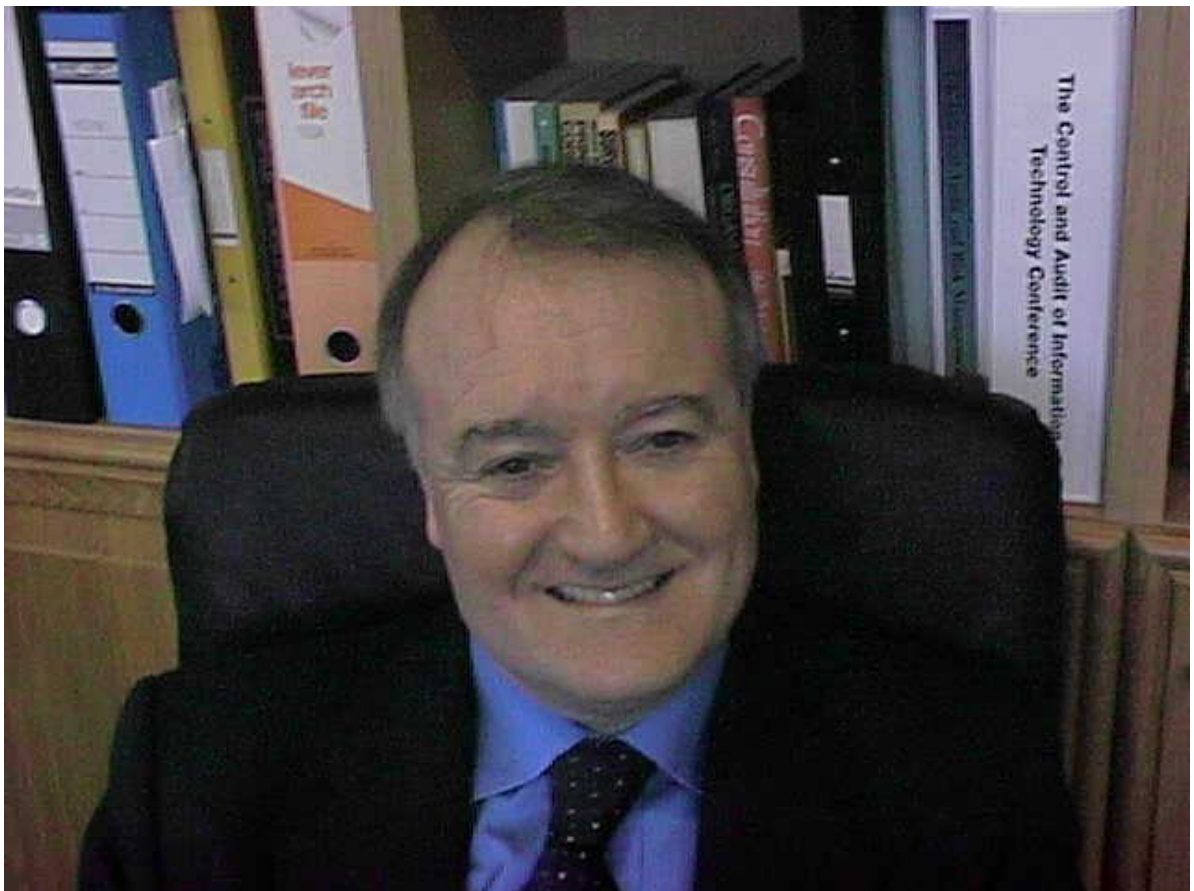
47 Grangewood, Potters Bar, Hertfordshire, EN6 1SL, England
info@lhscontrol.com www.lhscontrol.com
Tel: +44 (0)1707 851454 Fax: +44 (0)1707 851455 Mobile: +44 (0)7774 145638

City & Guilds of London Institute - October 1968 to February 1972

I spent 4 years at the City and Guilds during which time I became a proficient COBOL programmer and later a systems analyst responsible for the implementation of some substantial systems. At that time the data processing department was quite small and in order to achieve further advancement I left the City and Guilds for a position with the Local Government Training Board (LGTB).

Mercantile & General Re-insurance Company – September 1966 to September 1968

I started my career in the City of London as an insurance clerk . The company was starting to computerise its operations and I developed an interest in information technology. As there were no opportunities in the company to pursue this as a career I resigned to work with a company that provided suitable development opportunities.



LHS

VAT Registration Number GB 506 0102 13